

Supplier Assessment Report

Nantong Baokai Chemical Co., LTD

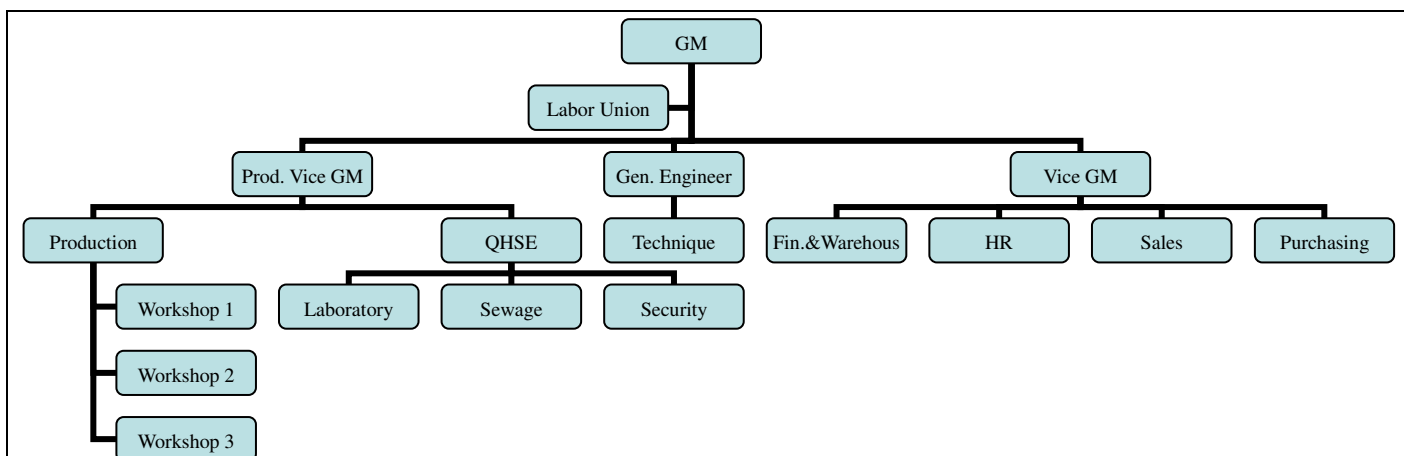
南通宝凯化工有限公司

This report is issued by Bureau Veritas Certification.

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Report Number:	T001_P+T	Online Verification :	www.bvcerchina.cn
Validity Period:	21 Dec. 2012 ~ 20 Dec. 2013		

Section 1: Company Overview

Company Overview	
1.1 Legal Entity	
Does the supplier have the following certificates to carry out business?	<input checked="" type="checkbox"/> Business license <input checked="" type="checkbox"/> Tax Registration Certificate <input checked="" type="checkbox"/> Organization code certificate <input checked="" type="checkbox"/> Account opening license
Business License Number:	320681000109224
Validity Period:	13 Mar. 2006 ~ 13 Mar. 2021
Registered Address:	Fine Chemical Industry Park, Qidong Economical Development Zone, Qidong City, Nantong City, Jiangsu Province, China
Company Address:	Binjiang Fine Chemical Industry Park, Beixin Town, Qidong City, Nantong City, Jiangsu Province, China
Annual review conducted by:	Qidong Industrial & Commercial Bureau
Name of legal representative:	Mr. Maoqian Xu
Registered Capital:	RMB25,000,000.00
Business Type:	<input checked="" type="checkbox"/> Manufacturer <input type="checkbox"/> Trading Company <input type="checkbox"/> Combine <input checked="" type="checkbox"/> Private Owner <input type="checkbox"/> Public Company <input type="checkbox"/> Joint Venture <input type="checkbox"/> Stated Owned <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other
Type of Ownership:	
Products Manufactured/Sold:	Ethyl Trifluoroacetate, Trifluoroacetic Anhydride
Business Contact Person:	Ms. Polly Yao
Phone Number:	0086-571-85029599 (Sales) 0086-513-83889356 (Factory)
Fax Number:	0086-571-85029600 (Sales) 0086-513-83889158 (Factory)
Email:	sales@baokaichem.com
Website Address (URL):	www.baokaichem.com
1.2 Company Facility Information	
Certification Type:	<input checked="" type="checkbox"/> Land Certificate <input checked="" type="checkbox"/> Real Estate Certificate <input type="checkbox"/> Lease Agreement
Number of Building(s)	8
Total Building Size:	2,820 m ²
1.3 Human Resources	
Company Chart	



Employee Headcount:

Department Name	Full Time Employee(s)	Part-Time Employee(s)	Total
GM	1	0	1
Labor Union	2	0	2
Prod. Vice GM	1	0	1
Production	61	0	61
QHSE	7	0	7
General Engineer	1	0	1
Technique	4	0	4
Vice GM	1	0	1
Finance & Warehouse	4	0	4
HR	2	0	2
Sales	1	0	1
Purchasing	2	0	2
Total:	87	0	87

1.4 Production Capacity

Products Category	Ethyl Trifluoroacetate	Trifluoroacetic Anhydride	N/A	N/A
Actual Production Capacity in the Past 12 Months	750 Tons	25 Tons	N/A	N/A
Max Production Capacity Based on Current Status	1200 Tons	180 Tons	N/A	N/A
Number of Main Production Machineries by Category:	Machineries Category		Number	
	Oxidation Equipment		8 Sets	
	Esterification Equipment		4 Sets	
	Anhydridization Equipments		3 Sets	
Number of Main Testing Machineries by Category:	Machineries Category		Number	
	Gas-chromatography Instrument		2 Sets	

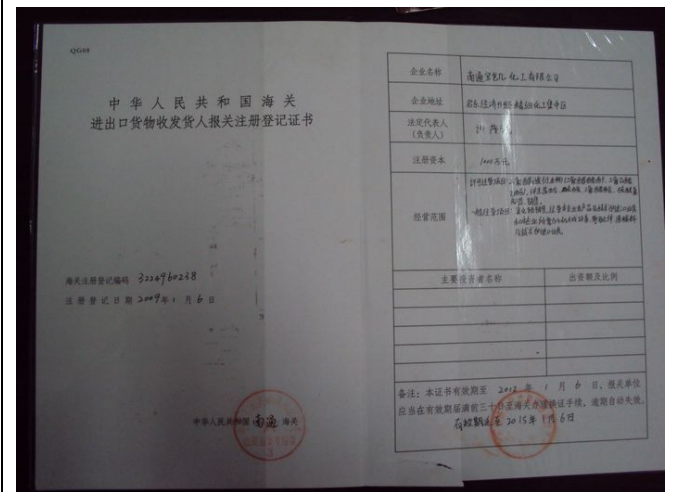
	Moisture Tester	2 Sets						
	F-determinator	1 Set						
	Electronic Balance	2 PCS						
1.5 Sales Volume of Trade Goods								
Sales volume in past 12 months	Ethyl Trifluoroacetate	Trifluoroacetic Anhydride						
	750 Tons	25 Tons						
1.6 Trade Mark								
No.	Content	Observations /Comments						
1	Registered Trade Mark	N/A						
2	Issued By:	N/A						
1.7 Communication Channel								
Accepted Language	Mail	Telephone	Instant communication tool	others				
English	Yes	Yes	Yes	N/A				
1.8 Export Situation								
There is/are <u>1</u> international trade employee(s) in the company.								
Is there a valid export license?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No						
Export License Registration No.:		N/A						
Trade agents employed overseas:		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No						
Accepted payment terms:		<input checked="" type="checkbox"/> FOB <input checked="" type="checkbox"/> CIF <input type="checkbox"/> EXW <input checked="" type="checkbox"/> CFR						
Accepted payment type:		<input checked="" type="checkbox"/> L/C <input checked="" type="checkbox"/> T/T <input checked="" type="checkbox"/> Cash						
Lead time from order confirmation to production delivery on average (products exiting the factory):		7~10 Days						
1.9 Market Distribution (Previous 24 Months)								
Products	Western Europe	Eastern Europe	North America	South America	Asia	Africa	Oceania	Domestic
Ethyl Trifluoroacetate	-	-	-	-	Yes	-	-	Yes
Trifluoroacetic Anhydride	-	-	-	-	Yes	-	-	Yes
1.10 Certification & Photos								
Business License (Duplicate) with Certificate / Records of Annual Inspection			Tax Registration Certificate					



Permit for Opening Bank Account



Custom Clearance Registration Form



ISO 9001:2008 Certificate



Organization Code Certificate



Permit Certificate of Safety Production



ISO 14001:2004 Certificate



OHSAS 18001:1999 Certificate



N/A

N/A



1.11 Company Overview and Product Samples

Company Overview



Workshop

Office Building



Workshop



Warehouse



Product Quality Testing Center



Product Sample









Product Sample



Section 2: Production Management

2.1 QA/QC and Purchasing Management		
	Content	Observations /Comments
2.1.1	How many full time inspectors?	3
2.1.2	Is the QA/QC inspectors work independent from the production line?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Comments:
2.1.3	Does the company have written inspection criterion?	<input checked="" type="checkbox"/> IQC <input checked="" type="checkbox"/> IPQC <input checked="" type="checkbox"/> FQC <input type="checkbox"/> Comments:
2.1.4	Does the company keep written inspection records?	<input checked="" type="checkbox"/> IQC <input checked="" type="checkbox"/> IPQC <input checked="" type="checkbox"/> FQC <input type="checkbox"/> Comments:
2.1.5	What is the type of finished products inspected?	<input type="checkbox"/> 100% of products with detailed inspection <input checked="" type="checkbox"/> Random sampling inspection <input type="checkbox"/> No inspection <input type="checkbox"/> No inspection necessary
2.1.6	Have calibration of various instruments and equipments been done periodically Records are maintained?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Parts
2.1.7	What's the source of the main material?	<input checked="" type="checkbox"/> From the suppliers or trade company <input type="checkbox"/> From wholesale markets <input type="checkbox"/> Other (Please specify)
2.2 Production Process Management		
	Content	Observations /Comments
2.2.1	Can mainly material be traced?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Comments:
2.2.2	Are WI/SOP provided in production line?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Parts
2.2.3	Does the company subcontract any production process?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Comments:
2.2.4	Do machinery maintain periodically?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Comments:
2.3 After Sales Service		
	Content	Observations /Comments
2.3.1	Is there a standard feedback form and records, including complaints, clearly recorded and maintained?	<input type="checkbox"/> Yes, with a standard feedback form and records <input type="checkbox"/> Yes, with a standard feedback form but no records <input checked="" type="checkbox"/> Yes, with records but no standard feedback form <input type="checkbox"/> No

2.3.2	Are there any clear procedures for handling customer complaints?	<input type="checkbox"/> Yes, with clear procedures and written records <input checked="" type="checkbox"/> Yes, with clear procedures but no written records <input type="checkbox"/> Yes, with written records but no clear procedures <input type="checkbox"/> No
2.3.3	Does the company have a written procedure for product alert and recall?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.4 R&D Capacity		
	Content	Observations /Comments
2.4.1	Number of special R&D engineer(s) in the company	2
2.4.2	Does the company have a standard R&D procedure?	<input checked="" type="checkbox"/> Yes, with clear procedure and written instructions <input type="checkbox"/> Yes, with procedure but no written instructions <input type="checkbox"/> No
2.4.3	Does the company have records of R&D processes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Comments: (typical process)
2.4.4	Has the R&D product been internally tested and verified?	<input checked="" type="checkbox"/> Yes, with written record <input type="checkbox"/> Yes, only partly have written record <input type="checkbox"/> Yes, but no written record <input type="checkbox"/> No
2.4.5	Were the R&D product inspected by the third party organizations?	<input checked="" type="checkbox"/> Yes, all products were inspected by third party organizations <input type="checkbox"/> Yes, only partly <input type="checkbox"/> No
2.4.6	Is there a confirmation with client for the R&D product?	<input type="checkbox"/> Yes, all product were confirmed with client <input checked="" type="checkbox"/> Yes, meet client requirements, but partly confirmed <input type="checkbox"/> No
2.4.7	Are there any requirements for the R&D employees' qualifications?	<input type="checkbox"/> Yes, with detailed written job description <input checked="" type="checkbox"/> Yes, with requirements, but no written description <input type="checkbox"/> No, without requirement, but the R&D employees have more than one year's experience on similar products <input type="checkbox"/> No, without any requirements
2.4.8	Have the R&D employees' qualifications been qualified?	<input type="checkbox"/> Yes, all R&D employee have been qualified and with record <input checked="" type="checkbox"/> Yes, all R&D employee have been qualified but without record <input type="checkbox"/> No, never
2.4.9	If any, list all certifications and/or qualifications of the R&D department	N/A

2.4.10	List special equipments for R&D purposes	Cryopreservation circulating pump, 50l reactor, machinery mixer with electrical heat cover			
2.5 Production Flow					
No	Production Process	No	Production Process	No	Production Process
1	 Oxidation	2	 Absorption	3	 Catalyst Recycling
4	 Raw material mixed	5	 Esterification	6	 Distillate

-- End of Report --